

# EXECUTIVE LOBBYING EMPLOYER/PRINCIPAL EXPENDITURE REPORT

FORM 508

USE FOR: REGULAR FILING - THROUGH JANUARY 31, 2009 DUE AUGUST 15

USE FOR: SPECIAL FILING - THROUGH DECEMBER 31, 2009 DUE FEBRUARY 15

Pursuant to LSA-R.S. 49:78G(2)(a), an employer or principal of a lobbyist may elect to file the Lobbying Expenditure Reports as required by Title 49 on behalf of all of its lobbyists. This reporting form is only to be used by principals or employers who have exercised this option by timely filing the Executive Lobbying Expenditure Reporting Designation Form and are reporting on behalf of their registered executive lobbyists.

Hand deliver or mail to: 2415 Quail Drive, 3<sup>rd</sup> Floor, Baton Rouge, LA 70806

OR

Fax to: (225) 763-8787 or (225) 763-8780

- EMPLOYER/PRINCIPAL J + W Seligman + Co Inc
- BUSINESS ADDRESS 180 Park Ave NY NY 10017  
Street and No. City State Zip
- MAILING ADDRESS S/A \_\_\_\_\_  
Street and No. City State Zip
- CONTACT PERSON: China Tammy \_\_\_\_\_  
Last First MI
- MAILING ADDRESS \_\_\_\_\_  
(If different from above) Street and No. City State Zip
- PHONE NUMBER (212) 850-1832 \_\_\_\_\_  
Area Code and Phone Number

FOR OFFICE USE ONLY  
Postmark Date: 2/1/09

ER(2/1/09)

3061065

6. List the names and executive lobbyist registration numbers of the lobbyists on whose behalf this report is filed:

- Name: Buckley Dorothy A EXEC.ID.# 99  
Last First MI
- Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
Last First MI
- Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
Last First MI

Missing numbered pages were blank and had no information on them.

4) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

5) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

6) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

7) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

8) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

9) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

10) Name: \_\_\_\_\_ EXEC.ID.# \_\_\_\_\_  
 Last First MI

7. PROVIDE BELOW: (a) the aggregate total of all expenditures during the January 1 - June 30 reporting period; (b) the aggregate total of all expenditures during the July 1 - December 31 reporting period when applicable; (c) the aggregate total of all expenditures made by the principal/employer in a calendar year.

a. Total of all executive lobbying expenditures made January 1 through June 30: \$ 1,272.28  
 (Include expenditures from Schedules A and B)

b. Total of all executive lobbying expenditures made July 1 through December 31: \$ \_\_\_\_\_  
 (When Applicable) (Include expenditures from Schedules A and B)

c. Total of all executive lobbying expenditures made during calendar year: \$ 1,272.28  
 (Line "a" added to Line "b" should equal Line "c")

8. COMPLETE AN ATTACHMENT FORM for each of your registered executive lobbyists.

### CERTIFICATION OF ACCURACY

I hereby certify that the information contained herein is true and correct to the best of my knowledge, information and belief; and that no information required by LSA-R.S. 49:71 et seq. has been deliberately omitted.

Jimmy China  
 Signature of Employer/Principal or Representative

Jimmy China  
 Print or Type Full Name

# EXECUTIVE LOBBYING EMPLOYMENT REPORT ATTACHMENT EXPENDITURE REPORT

This Attachment is to be used to complete Item #8 of Form 508, the report form for principals and employers who have elected to report on behalf of their executive lobbyists. Make as many copies of this form as needed for the completion of the expenditure report. Identify each page with a number and indicate the total number of pages being submitted.

1) LOBBYIST: Buckley Dorothy A. EXEC ID # 99  
Last First MI

A. Total of all executive lobbying expenditures made January 1 through June 30: \$ 1,272.28  
(Include expenditures from Schedules A and B)

Total of all executive lobbying expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When Applicable) (Include expenditures from Schedules A and B)

Total of all executive lobbying expenditures made during calendar year: \$ 1,272.28  
(Adding above expenditure lines should equal this total.)

B. Did this lobbyist make an expenditure exceeding \$50 on one occasion for an executive branch official:

From January 1 through June 30? ☒ Yes ☐ No  
From July 1 through December 31? ☐ Yes ☐ No ☒ NA

If the answer to either question in B above is YES, complete Schedule A and attach.

C. Did this lobbyist make expenditures exceeding the sum of \$250 for an executive branch official:

From January 1 through June 30? Yes ☒ No ☐  
From July 1 through December 31? Yes ☐ No ☐ NA ☒

If the answer to either question in C above is YES, complete Schedule A and attach.

D. Did this lobbyist expend funds for any reception, social gathering, or other function to which more than twenty-five executive branch officials were invited during this reporting period?

Yes ☐ No ☒

If the answer to D above is YES, complete Schedule B and attach.

- E. PROVIDE BELOW (a) the name of the executive branch department as listed in the executive branch schedule; (b) the aggregate total of all expenditures attributable to the department made by this lobbyist during the January 1 - June 30 reporting period; (c) the aggregate total of all expenditures attributable to the department made by this lobbyist during the July 1 - December 31 reporting period when applicable; (d) the aggregate total of all expenditures made by this lobbyist in a calendar year attributable to the department.

- 1) a. Name of Department: Treasury
- b. Total of all expenditures made January 1 through June 30: \$ 1,272.28
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ 1,272.28
- 2) a. Name of Department: \_\_\_\_\_
- b. Total of all expenditures made January 1 through June 30: \$ \_\_\_\_\_
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ \_\_\_\_\_
- 3) a. Name of Department: \_\_\_\_\_
- b. Total of all expenditures made January 1 through June 30: \$ \_\_\_\_\_
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ \_\_\_\_\_
- 4) a. Name of Department: \_\_\_\_\_
- b. Total of all expenditures made January 1 through June 30: \$ \_\_\_\_\_
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ \_\_\_\_\_

F. PROVIDE BELOW (a) the name of the executive branch department and individual agency as listed in the executive branch schedule; (b) the aggregate total of all expenditures attributable to the agency made by this lobbyist during the January 1 - June 30 reporting period; (c) the aggregate total of all expenditures attributable to the agency made by this lobbyist during the July 1 - December 31 reporting period when applicable; (d) the aggregate total of all expenditures made by this lobbyist in a calendar year attributable to the agency.

- 1) a. Name of Department and Individual Agency: Treasury  
Louisiana School Employees Retirement System
- b. Total of all expenditures made January 1 through June 30: \$ 1,272.28
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ 1,272.28
- 2) a. Name of Department and Individual Agency: \_\_\_\_\_
- b. Total of all expenditures made January 1 through June 30: \$ \_\_\_\_\_
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ \_\_\_\_\_
- 3) a. Name of Department and Individual Agency: \_\_\_\_\_
- b. Total of all expenditures made January 1 through June 30: \$ \_\_\_\_\_
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ \_\_\_\_\_
- 4) a. Name of Department and Individual Agency: \_\_\_\_\_
- b. Total of all expenditures made January 1 through June 30: \$ \_\_\_\_\_
- c. Total of all expenditures made July 1 through December 31: \$ \_\_\_\_\_  
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ \_\_\_\_\_

Executive Lobbyist:

Buckley Dorothy

Last

First

MI

Exec. Id #:

99

**SCHEDULE A: EXPENDITURES FOR EXECUTIVE BRANCH OFFICIALS**

This schedule must be completed if, during the period January 1 through June 30 or the period July 1 through December 31, one of your registered executive lobbyists made either a) an expenditure for any executive branch official exceeding \$50 on any one occasion or b) aggregate expenditures exceeding \$250 for any one executive branch official during a reporting period, then you must provide the name of the lobbyist who made the expenditure(s) and the aggregate total of expenditures made on that individual in that reporting period. Make as many copies as are necessary. Each lobbyist should have his own Schedule A if one is required. NOTE: Report covering July - December is cumulative. You must include reportable expenditures from the first half of the year in Column #3.

1. EXECUTIVE OFFICIAL'S NAME	2. OFFICIAL'S AGENCY AS LISTED IN THE EXECUTIVE BRANCH SCHEDULE	3. AMOUNT OF EXPENDITURES MADE ON AN OFFICIAL FOR WHOM YOU EITHER SPENT OVER \$50 ON ONE OCCASION OR MADE EXPENDITURES EXCEEDING \$250 BETWEEN JANUARY 1 AND JUNE 30	4. AMOUNT OF EXPENDITURES MADE ON AN OFFICIAL FOR WHOM YOU EITHER SPENT OVER \$50 ON ONE OCCASION OR MADE EXPENDITURES EXCEEDING \$250 BETWEEN JULY 1 AND DECEMBER 31	5. TOTAL OF COLUMNS 3 AND 4
Various (See Attached Schedule A)	Louisiana School Employees Ret. Sys.	\$1,272.28	—	\$1,272.28

Schedule A

\$1,272.28

Julie LeBlanc + guest

Warren Ponder + guest

Betty Crain + guest

Betty Jacob

Charles Bujol + guest

Charles Hall + guest

Judith McKee

Jeffrey Faulk

Richard Ranallo – Segal Advisors

Dorothy Buckley – J & W Seligman Employee

Rick Ruvkun – J & W Seligman Employee